



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

For application of: Harris et al.

Docket No.: A01200a

Application No.: 10/025,707

Group No.: 1714

Filed: December 26, 2001

Examiner: Niland, Patrick Dennis

For: Method of Improving Viscosity Stability upon Addition of an Aqueous Tinting Composition

**REQUEST TO CORRECT INVENTORSHIP UNDER 37 CFR 1.48(a)**

Commissioner for Patents  
Alexandria, VA. 22313-1450

Sir:

Applicants respectfully request a correction to the inventorship in the above-identified patent application under 37 CFR 1.48(a). In particular, the amendment of the inventorship requires the addition of the following two people as inventors:

Dennis Paul Lorah

John Michael Friel

Each of the inventors, Lorah and Friel, has received a true copy of the instant specification, and has read and understands the instant specification.

Enclosed, please find:

1. Statements from each of the inventors Lorah and Friel stating that the error in inventorship occurred without any deceptive intention on his part.
2. A Declaration and Power Of Attorney by the inventors Lorah and Friel as required by § 1.63.
3. The processing fee (in duplicate) of \$130 as set forth in § 1.17(i).

01/28/2004 H8UTEMA1 00000040 181850 10025707

01 FC:1460 130.00 DA

Respectfully submitted,

Andrew E. C. Merriam  
Attorney for Applicants  
Registration No. 47,268  
Telephone (215) 592-6758

Date: January 20, 2004  
ROHM AND HAAS COMPANY  
100 Independence Mall West  
Philadelphia, PA 19106-2399  
Telephone : (215) 592-6758



PTO/SB/17 (10-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 130.00

## Complete if Known

Application Number	10/025,707
Filing Date	February 15, 2004 December 26, 2001
First Named Inventor	Jerome M. Harris et al
Examiner Name	Niland, Patrick Dennis
Art Unit	1714
Attorney Docket No.	A01200A

Am  
1-20-04

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 18-1850  
Deposit Account Name: Rohm and Haas Company

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$ )

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims:  -20\*\* =  X  =   
Independent Claims:  -3\*\* =  X  =   
Multiple Dependent:  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ )

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify) Request to Correct Inventor 130

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 130.00

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Andrew E. C. Merriam	Registration No. (Attorney/Agent)	47,268	Telephone	215-592-6758
Signature		Date	01/20/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Harris et al.

Docket No.: A01200a

Application No.: 10/025707

Group No.: 1714

Filed: February 15, 2001

Examiner: Niland, Patrick Dennis

For: Method of Improving Viscosity Stability upon Addition of an Aqueous Tinting Composition

REQUEST TO CORRECT INVENTORSHIP UNDER 37 CFR 1.48(a)

Statement of Lack of Deceptive Intent for Inventor Dennis Paul Lorah.

I, Dennis Paul Lorah, state that the inventorship error in the above-identified application occurred without deceptive intention on my part.

*Dennis Paul Lorah*

Dennis Paul Lorah



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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For: Method of Improving Viscosity Stability upon Addition of an Aqueous Tinting Composition

REQUEST TO CORRECT INVENTORSHIP UNDER 37 CFR 1.48(a)

Statement of Lack of Deceptive Intent for Inventor John Michael Friel.

I, John Michael Friel, state that the inventorship error in the above-identified application occurred without deceptive intention on my part.

  
John Michael Friel



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Harris et al.

Docket No.: A01200a

Application No.: 10/025707

Group No.: 1714

Filed: December 26, 2001

Examiner: Niland, Patrick Dennis

For: Method of Improving Viscosity Stability upon Addition of an Aqueous Tinting Composition

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

Sir:

I hereby certify that the following correspondence is being deposited with the  
U.S. Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313-1450

on the date indicated next to my signature below:

Request to Correct Inventorship under 37 CFR 1.48(a)

Statement from New Inventor Dennis Paul Lorah

Statement from New Inventor John Michael Friel

Declaration and Power of Attorney

Fee Sheet

Postcard

01/21/04

Date

Signature



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**DECLARATION FOR UTILITY OR  
DESIGN  
PATENT APPLICATION  
(37 CFR 1.63)**☐Declaration  
Submitted  
with Initial  
Filing

OR

☒Declaration  
Submitted after Initial  
Filing (surcharge  
(37 CFR 1.16 (e))  
required)

Attorney Docket Number	A01200A
First Named Inventor	Jerome Michael Harris
<b>COMPLETE IF KNOWN</b>	
Application Number	10/025,707
Filing Date	December 26, 2001
Art Unit	1714
Examiner Name	Niland, Patrick Dennis

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHOD OF IMPROVING VISCOSITY STABILITY UPON ADDITION OF AN AQUEOUS TINTING  
COMPOSITION***(Title of the Invention)*

the specification of which

☐

is attached hereto

OR

☒

was filed on (MM/DD/YYYY)

12/26/01

as United States Application Number or PCT International

Application Number

10/025,707

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventors or plant breeders rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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## DECLARATION — Utility or Design Patent Application

Direct all correspondence to: ☒ Customer Number **21898** OR ☐ Correspondence address below

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State

ZIP

Country

Telephone

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR

☐

A petition has been filed for this unsigned inventor

Given Name **Jerome Michael**  
(first and middle [if any])

Family Name **Harris**  
or Surname

Inventor's  
Signature

*Jerome Michael Harris*

Date **1-16-04**

Residence: City **Penllyn**

State **Pennsylvania**

Country **U.S.A.**

Citizenship **U.S.A.**

**1101 Township Line Road**

Mailing Address

City **Penllyn**

State **Pennsylvania**

ZIP **19422**

Country **U.S.A.**

NAME OF SECOND INVENTOR:

☐

A petition has been filed for this unsigned inventor

Given Name **Henry Jude**  
(first and middle [if any])

Family Name **Eichman**  
or Surname

Inventor's  
Signature

*Henry Jude Eichman*

Date **1-16-04**

Residence: City **Havertown**

State **Pennsylvania**

Country **U.S.A.**

Citizenship **U.S.A.**

**45 Fairfield Road**

Mailing Address

City **Havertown**

State **Pennsylvania**

ZIP **19083**

Country **U.S.A.**

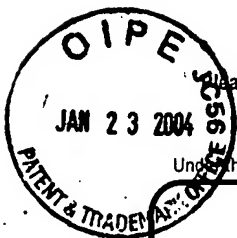
☐ Additional inventors are being named on the \_\_\_\_\_ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> <b>Supplemental Sheet</b> Page <u>3</u> of <u>3</u>
--------------------	---

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Homayoun		Family Name Jamasbi or Surname	
Inventor's Signature <i>Homayoun Jamasbi</i>		Date <i>1/16/04</i>	
Residence: City <i>Blue Bell Lansdale</i>	State PA	Country U.S.A.	Citizenship U.S.A.
Mailing Address <i>1207 A Skippack Pike 2532 Quail Run</i>			
Mailing Address <i>19446</i>			
City <i>Blue Bell Lansdale</i>	State PA	ZIP <i>19422</i>	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Dennis Paul		Family Name Lorah or Surname	
Inventor's Signature <i>Dennis Paul Lorah</i>		Date <i>1/16/04</i>	
Residence: City Lansdale	State PA	Country U.S.A.	Citizenship U.S.A.
Mailing Address <i>1692 Heebner Way</i>			
Mailing Address			
City Lansdale	State PA	ZIP 19446	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name John Michael		Family Name Friel or Surname	
Inventor's Signature <i>John Michael Friel</i>		Date <i>1/16/2004</i>	
Residence: City Warmister	State PA	Country U.S.A.	Citizenship U.S.A.
Mailing Address <i>341 Beech Street</i>			
Mailing Address			
City Warmister	State PA	ZIP 18974	Country U.S.A.

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





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PTO/SB/81 (02-01)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	10/025,707
Filing Date	December 26, 2001
First Named Inventor	Jerome Michael Harris
Title	Method of Improving Viscosity...
Group Art Unit	1714
Examiner Name	Niland, Patrick Dennis
Attorney Docket Number	A01200A

I hereby appoint:

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21898 →

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Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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Telephone

Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

Jerome Michael Harris

Signature

*Jerome Michael Harris*

Date

1-16-04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 5 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

Henry Jude Eichman

Signature

*Henry Jude Eichman*

Date

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 5 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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<b>POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	<b>Application Number</b>	10/025,707
	<b>Filing Date</b>	December 26, 2001
	<b>First Named Inventor</b>	Jerome Michael Harris
	<b>Title</b>	Method of Improving Viscosity...
	<b>Group Art Unit</b>	1714
	<b>Examiner Name</b>	Niland, Patrick Dennis
	<b>Attorney Docket Number</b>	A01200A

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Address			
Address			
City	State	Zip	
Country			
Telephone	Fax		

I am the:

☒ Applicant/Inventor.

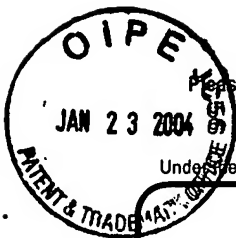
☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

**SIGNATURE of Applicant or Assignee of Record**

Name	Homayoun Jamasbi
Signature	<i>Homayoun Jamasbi</i>
Date	1/16/2004

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 5 forms are submitted.



Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (02-01)

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☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
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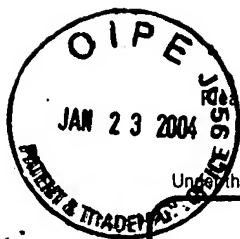
### SIGNATURE of Applicant or Assignee of Record

Name	Dennis Paul Lorah
Signature	<i>Dennis Paul Lorah</i>
Date	1/16/04

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 5 forms are submitted.

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Please type a plus sign (+) inside this box → ☐

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Examiner Name	Niland, Patrick Dennis
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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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OR

☐ Practitioners at Customer Number

→

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OR

☐ Firm or  
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

### SIGNATURE of Applicant or Assignee of Record

Name

John Michael Friel

Signature

*John Michael Friel*

Date

*January 16, 2004*

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 5 forms are submitted.

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